

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending 03/31/2020

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

Hausfeld LLP

6724

(c) Business Address(es) of Registrant

1700 K Street, N.W.

Washington, D.C. 20006

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☐ No ☒(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐ No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐

If yes, identify each such person and describe the service rendered.

Not applicable

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

Not applicable

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Environmental Youth Activists

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☒ No ☐

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☒

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

For the Environmental Youth Activists, Hausfeld submitted a Communication to the U.N. CRC; prepared and sent letters to the governments of Norway and Canada to advocate that their governments pursue environmentally responsible policies; attended the thirtieth anniversary of the Committee on the Rights of the Child in Geneva, Switzerland and attended COP25 in Madrid, Spain to meet with climate activists, policymakers, and advocate on behalf of the foreign principals; facilitated various opportunities for the foreign principals to discuss their climate advocacy on national and international stages; and prepared a Reply brief to be submitted to the Committee on the Rights of the Child.

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

See 11, above.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☐ No ☒

If no, explain why.

Hausfeld is working on behalf of the Environmental Youth Activists on a pro bono basis.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
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Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D⁸ to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☒ No ☐

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
Chadhliia Zammeli Ep Joubali	09/21/2019	Harissa, Tunisian tree model, conch shell, hand bag	Thank you
Carl John	09/24/2019	Shell necklaces, paper wallet, grass woven mat	Thank you
Gustavo Sacchi	09/21/2019	2 cloth bracelets	Thank you
Caroline Lorenzo	09/21/2019	3 t-shirts, beach wrap	Thank you

^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☒ No ☐

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
	See attachment		

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☒ No ☐

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
See attachment.				

(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²

Yes ☒ No ☐

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☐ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

Environmental Youth Activists

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☒ Letters or telegrams
☐ Advertising campaigns ☒ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (*specify*) _____

Electronic Communications

☒ Email

☒ Website URL(s): https://www.hausfeld.com/case-studies/un-climate-change?lang_id=1

☒ Social media websites URL(s): <https://twitter.com/HausfeldGlobal>; <https://www.linkedin.com/company/hausfeld/>

☐ Other (*specify*) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☒ Public officials ☒ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☒ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (*specify*) _____

21. What language was used in the informational materials:

☒ English ☐ Other (*specify*) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☒ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)4/30/2020Brent W. Janda
Global Managing Partner
Hausfeld LLP

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

U.S. Department of Justice

Washington, DC 20530

Amendment to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. File this amendment form for any changes to a registration. Compliance is accomplished by filing an electronic amendment to registration statement and uploading any supporting documents at <https://www.fara.gov>.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the FARA Unit in Washington, DC. Statements are also available online at the FARA Unit's webpage: <https://www.fara.gov>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <https://www.fara.gov>

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .75 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, FARA Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant Hausfeld, LLP	2. Registration Number 6724
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3. This amendment is filed to accomplish the following indicated purpose(es):

- ☐ To give a 10-day notice of change in information as required by Section 2(b) of the Act.
- ☐ To correct a deficiency in
- ☐ Initial Statement
- ☐ Supplemental Statement for the 6 month period ending _____
- ☐ Other purpose (*specify*) _____
- ☒ To give notice of change in an exhibit previously filed.

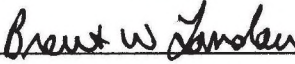
4. If this amendment requires the filing of a document or documents, please list:

5. Each item checked above must be explained below in full detail together with, where appropriate, specific reference to and identity of the item in the registration statement to which it pertains.

Amend Item 10 in Exhibit A by replacing Padley, Dinesh with Padley, Ridhima. Ridhima Padley is the foreign principal; Dinesh Padley is her father who signed the representation letter as Ridhima Padley is a minor.

EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this Amendment to Registration Statement, that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature ¹
4/30/2020	Brent W. Landon Global Managing Partner Hausfeld LLP	

¹ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Hausfeld, LLP (Registration No. 6724)
FARA Supplemental Statement
for Period Ended March 31, 2020
Attachments

Response to Question 15(a)

Question No. 15(a) DISBURSEMENTS - MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, and 9 of this statement? **Yes**

(2) transmitted monies to any such foreign principal? **No**

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
09/30/2019	Moire Marketing Partners	Advertising	\$1,766.41
10/11/2019	Spada Ltd.	Cision and Distribution platform	\$3,105.36
9/19/2019	A Plus Messenger Service	Courier Delivery	\$30.00
10/01/2019	Beverly Cook	Design, Visual Services	\$1,299.80
10/08/2019	John Cerone	Expert Consultation Services	\$4,000.00
04/07/2020	Climate Analytics	Expert Report	\$1,980.72
09/13/2019	Climate Analytics	Expert Report	\$5,558.00
08/27/2019	Climate Analytics	Expert Report	\$16,777.50
10/17/2019	Joeri Rogelj	Expert Report	\$1,178.10
09/22/2019	Frederico Tenorio, NYC	Poster Display for Presentation	\$146.00
09/19/2019	Lightning Copy and Printing, NYC	Poster Display for Presentation	\$304.85
09/23/2019	Staples, NYC	Presentation Supplies	\$205.90
09/30/2019	W.B. Mason Co., Inc.	Presentation Supplies	\$1,585.55
09/21/2019	Grand Hyatt, NYC UNIGUEST	Printing Complaint Copies	\$84.93
09/23/2019	Staples, NYC	Printing Complaint Copies	\$65.65
09/2019-4/2020	Westlaw	Research	\$377.67
10/16/201	Kimberly Fetsick	Subway Fare for Environmental Youth Activists	\$50.00
09/01/2019-04/30/2020	Jeanette Bayoumi	Cell phone reimbursement	\$210.02
09/01/2019-04/30/2020	Kimberly Fetsick	Cell phone reimbursement	\$30.00
09/01/2019-04/30/2020	Scott Gilmore	Cell phone reimbursement	\$153.00

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Date	To Whom	Purpose	Amount
08/28/2019	TransPerfect Translations, Int. Inc.	Translation Portuguese to English	\$247.50
02/12/2020	TransPerfect Translations, Int. Inc.	Translation to French	\$1,330.00
12/11/2019	TransPerfect Translations, Int. Inc.	Translation to Norwegian	\$425.00
02/27/2020	TransPerfect Translations, Int. Inc.	Translation to Portuguese	\$350.00
09/2019- 4/2020	Connoisseur Travel Agency	Travel Agency	\$697.00
10/04/2019	April Peebler	Travel Reimbursement	\$2,001.59
10/07/2019	Caroline Alves Dias Lorenzo	Travel Reimbursement	\$739.53
10/1/2019	Dinesh Pandey	Travel Reimbursement	\$535.20
12/16/2019	Irena Sabic	Travel Reimbursement	\$433.70
12/16/2019	Marc Willers	Travel Reimbursement	\$265.97
10/22/2019	Perla Olga Maria Herro	Travel Reimbursement	\$596.11
10/08/2019	Peter Ivanov	Travel Reimbursement	\$1,160.89
09/11/2019	Oyeronke Adegbile	Visa Application	\$600.00
Total:			\$48,291.93

Hausfeld, LLP (Registration No. 6724)
FARA Supplemental Statement
for Period Ended March 31, 2020
Attachments

Response to Question 15(b)

Question No. 15(b) DISBURSEMENTS – THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? **Yes**

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
08/29/2019-09/27/2019	Environmental Youth Activists	Environmental Youth Activists	Airfare, taxi and subway services, hotel rooms, meals, water bottles, and back packs	Travel to and from New York, NY to attend Submission of the Petition to the CRC.
12/05/2019-12/12/2019	Callum Grieve	Environmental Youth Activists	Airfare, taxi services, hotel room, and meals	Travel to and from Madrid for COP25 meetings and events
12/05/2019-12/12/2019	Irena Sabic	Environmental Youth Activists	Airfare, taxi services, hotel room, and meals	Travel to and from Madrid for COP25 meetings and events
12/05/2019-12/12/2019	Marc Willers	Environmental Youth Activists	Airfare, taxi services, hotel room, and meals	Travel to and from Madrid for COP25 meetings and events
12/05/2019-12/12/2019	Michael Hausfeld	Environmental Youth Activists	Airfare, taxi services, hotel room, and meals	Travel to and from Madrid for COP25 meetings and events
12/05/2019-12/12/2019	Scott Gilmore	Environmental Youth Activists	Airfare, taxi services, hotel room, and meals	Travel to and from Madrid for COP25 meetings and events
11/11/2019-11/21/2019	Scott Gilmore	Environmental Youth Activists	Airfare, taxi services, hotel room, and meals	Travel to and from Geneva, Switzerland for CRC 30th Anniversary meetings and events
09/01/2019-04/30/2020	Hausfeld Attorneys	Environmental Youth Activists	Airfare, taxi services, hotel rooms, and meals	Inter-office travel and meetings to prepare and file the Communication and Reply.
11/15/2020	Richard Lewis	Environmental Youth Activists	Parking	Dinner with Tony Reisman & Ned Millberg [Legal Scholars] re Amicus for Reply

Hausfeld, LLP (Registration No. 6724)
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Attachments

Date	Recipient	Foreign Principal	Thing of Value	Purpose
09/01/2019-04/30/2020	Hausfeld Attorneys	Environmental Youth Activists	Telephone Services	Office Telephone Services to facilitate communication.
08/28/2019-09/16/2019	Environmental Youth Activists	Environmental Youth Activists	Tickets	Social Good Summit entrance.
09/01/2019-04/30/2020	Hausfeld Attorneys	Environmental Youth Activists	Amtrak Train fare	Inter-office travel and meetings to prepare and file Communication and Reply.